



Atty. Dkt. No. SHO-0042  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re the Application of:

**Kazuo OKADA**

Group Art Unit: 3714

Application No.: 10/697,081

Examiner: E. M. Thomas

Filed: October 31, 2003

Confirmation No.: 9728

For: GAMING MACHINE

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

03/26/2007 KBERHE 00300075 100013 10697081  
01 FC:1006 100.00 LA

Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

- ☒ A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98
- ☒ excluding a copy of U.S. patent or U.S. patent application publication as waived by the U.S. Patent and Trademark Office, since this application was filed after June 30, 2003 or has entered the national stage under 35 U.S.C. § 371 after June 30, 2003 (1276 OG 55).

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which

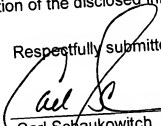
SHO-0042  
(80288-0042)

is determined to be a *prima facie* prior art reference against the claims of the present application.

- ☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application (37 C.F.R. §1.97(c)). Please charge deposit account 18-0013 in the amount of \$180.00 for payment of the fee under 37 CFR §1.17(p).
- ☒ 2. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013, as needed, to ensure consideration of the disclosed information.

Respectfully submitted,

By:

  
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Date: March 23, 2007

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ALTERNATIVE TO PTO/SB/08A/B (09/06)

Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number 10/697,081-Conf. #9728  
 Filing Date October 31, 2003  
 First Named Inventor Kazuo OKADA  
 Art Unit 3714  
 Examiner Name Eric M. Thomas  
 Attorney Docket Number SHO-0042

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-4,371,870	02-01-1983	M. A. BIFERNO	
	AB*	US-4,518,225	05-21-1985	L. R. FREDRICKSON et al.	
	AC*	US-4,562,433	12-31-1985	M. A. BIFERNO	
	AD*	US-4,568,928	02-04-1986	M. A. BIFERNO	
	AE*	US-4,826,296	05-02-1989	Y. YOSHIMURA	
	AF*	US-5,146,354	09-08-1992	B. PLESINGER	
	AG*	US-5,546,296	08-13-1996	D. SAVIGNAC et al.	
	AH*	US-6,141,067	10-31-2000	M. IKKA	
	AI*	US-6,181,301-B1	01-30-2001	K. INOBUCHI et al.	
	AJ*	US-6,339,418-B1	01-15-2002	M. KITAGAWA	
	AK*	US-6,377,339-B1	04-23-2002	L. A. WESTERMAN et al.	
	AL*	US-6,642,975-B2	11-04-2003	N. CHINO et al.	
	AM*	US-2003/0214471-A1	11-20-2003	R. TOPELBERG	
	AN*	US-2004/0029636-A1	02-12-2004	W. WELLS	
	AO*	US-6,720,021-B2	04-13-2004	V. Y. L. WONG et al.	
	AP*	US-6,853,410-B2	02-08-2005	M. MATSUDA et al.	
	AQ*	US-6,893,345-B2	05-17-2005	S. MOTEGI et al.	
	AR*	US-6,954,238-B2	10-11-2005	C. H. LIU et al.	
	AS*	US-6,976,915-B2	12-20-2005	C. L. BAKER et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document Country Code <sup>2</sup> -Number <sup>2</sup> -Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	<sup>3</sup>

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Code of PTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	<sup>7</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_